

April 20, 2023

**The Secretary**  
**National Stock Exchange of India Limited**  
**Capital Market – Listing, Exchange Plaza,**  
**5<sup>th</sup> Floor, Plot No. C/1, G Block,**  
**Bandra-Kurla Complex, Bandra (E),**  
**Mumbai 400 051**

**The Secretary**  
**BSE Ltd.**  
**25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers**  
**Dalal Street, Fort**  
**Mumbai 400 001**

**NSE SCRIP CODE: CHOLAFIN EQ**

**BSE SCRIP CODE: 511243**

Dear Sirs,

**Sub: Filing of Compliance Certificate under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the period from 1st April, 2022 to 31st March, 2023.**

We enclose a certificate from the Practicing Company Secretary under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the period from 1st April, 2022 to 31st March, 2023.

We request you to take the above on record and acknowledge receipt.

Thanking you,

Yours faithfully,  
For Cholamandalam Investment and Finance Company Limited

P Sujatha  
Company Secretary

Encl.: As above

**CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

(For the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March 2023**)

**ISIN:INE121A01024    SYMBOL: CHOLAFIN**

We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Investment and Finance Company Limited** (here in after called "**the Company**") having its Registered Office at Dare House No.2, N.S.C Bose Road, Chennai 600 001 maintained by its Registrar and Share Transfer Agent viz., **KFin Technologies Limited (Formerly known as KFin Technologies Private Limited )** (herein after called '**RTA**') having its office at Selenium, Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 pertaining to the period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No.SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 and the Uniform Listing Agreement entered into by the Company with the **National Stock Exchange of India Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31<sup>st</sup> March 2023** has not received any request(s) for Transfer, Transposition, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company has received requests for Transmission of shares, Deletion of name and Issue of Duplicate share certificates and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No.SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022.

**For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES**

**CS R.SRIDHARAN**

**FCS No.4775**

**C.P.No.3239**

**PR NO.657/2020**

**UIN: S2003TN063400**

**UDIN: F004775E000141076**

**PLACE : CHENNAI**

**DATE : 19<sup>TH</sup> APRIL, 2023**