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General information about company

| | |
|--|------------------------------|
| Scrip code | 511243 |
| NSE Symbol | CHOLAFIN |
| MSEI Symbol | NOTLISTED |
| ISIN | INE121A01024 |
| Name of the entity | CHOLAMANDALAM INVESTMENT AND |
| Date of start of financial year | 01-04-2020 |
| Date of end of financial year | 31-03-2021 |
| Reporting Quarter | Quarterly |
| Date of Report | 30-06-2020 |
| Risk management committee | Applicable |
| Market Capitalisation as per immediate previous Financial Year | Top 500 listed entities |

Enter the quarter ended date only

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Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Add Notes

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

| Sr | Title (Mr / Ms) | Name of the Director | PAN | DIN | Category 1 of directors | Category 2 of directors | Category 3 of directors | Date of Birth | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations) | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Notes for not providing PAN | Notes for not providing DIN |
|----|-----------------|----------------------|------------|----------|--|---------------------------------|-------------------------|---------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|-----------------------------|-----------------------------|
| | Add | Delete | | | | | | | | | | | | | | | | | | |
| 1 | Mr | M M MURUGAPPAN | AAGPM1775L | 00170478 | Non-Executive - Non Independent Director | Chairperson related to Promoter | | 12-11-1955 | No | | 31-05-2018 | 26-07-2018 | | | 7 | 1 | 6 | 4 | | |
| 2 | Mr | ASHOK KUMAR BARAT | AGOPB1341B | 00492930 | Non-Executive - Independent Director | Not Applicable | Shareholder Director | 05-12-1956 | No | | 31-10-2017 | 26-07-2018 | | 60 | 6 | 6 | 8 | 4 | | |
| 3 | Mr | N RAMESH RAJAN | ACSPN8579K | 01628318 | Non-Executive - Independent Director | Not Applicable | Shareholder Director | 05-07-1957 | No | | 30-10-2018 | 30-07-2019 | | 60 | 3 | 3 | 4 | 3 | | |
| 4 | Mr | ROHAN VERMA | AGIPV6497L | 01797469 | Non-Executive - Independent Director | Not Applicable | Shareholder Director | 31-08-1985 | No | | 25-03-2019 | 30-07-2019 | | 60 | 1 | 1 | 1 | 0 | | |
| 5 | Mrs | BHAMA KRISHNAMURTHY | AAPK0269A | 02196839 | Non-Executive - Independent Director | Not Applicable | Shareholder Director | 19-12-1954 | No | | 31-07-2019 | 31-07-2019 | | 12 | 5 | 5 | 6 | 0 | | |
| 6 | Mr | ARUN ALAGAPPAN | AACPAB627P | 00291361 | Executive Director | Not Applicable | MD | 19-07-1976 | NA | | 15-11-2019 | 15-11-2019 | | 60 | 2 | 1 | 2 | 0 | | |
| 7 | Mr | RAVINDRA KUMAR KUNDU | AKWPK8951E | 07337155 | Executive Director | Not Applicable | | 07-07-1968 | NA | | 23-01-2020 | 23-01-2020 | | 60 | 1 | 0 | 0 | 0 | | |

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

| Stakeholders Relationship Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00170478 | M M MURUGAPPAN | Non-Executive - Non Independent Director | Chairperson | 31-07-2019 | | |
| 2 | 01797489 | ROHAN VERMA | Non-Executive - Independent Director | Member | 31-07-2019 | | |
| 3 | 00291361 | ARUN ALAGAPPAN | Executive Director | Member | 22-08-2017 | | |
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| Risk Management Committee | | | | | | | |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Risk Management Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00492930 | ASHOK KUMAR BARAT | Non-Executive - Independent Director | Chairperson | 01-04-2019 | | |
| 2 | 01628318 | N. RAMESH RAJAN | Non-Executive - Independent Director | Member | 30-01-2019 | | |
| 3 | 02196839 | BHAMA KRISHNAMURTHY | Non-Executive - Independent Director | Member | 31-07-2019 | | |
| 4 | 00291361 | ARUN ALAGAPPAN | Executive Director | Member | 22-08-2017 | | |
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| 10 | | | | | | | |

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

| Corporate Social Responsibility Committee | | | | | | | |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson | | | | | Yes | | |
| Sr | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1 | 00170478 | M.M. MURUGAPPAN | Non-Executive - Non Independent Director | Chairperson | 22-08-2018 | | |
| 2 | 02196839 | BHAMA KRISHNAMURTHY | Non-Executive - Independent Director | Member | 31-07-2019 | | |
| 3 | 00291361 | ARUN ALAGAPPAN | Executive Director | Member | 20-12-2017 | | |
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| Other Committee | | | | | | |
|-----------------|------------|---------------------------|-------------------------|--|-------------------------|---------|
| Sr | DIN Number | Name of Committee members | Name of other committee | Category 1 of directors | Category 2 of directors | Remarks |
| 1 | 00170478 | M.M. MURUGAPPAN | Business Committee | Non-Executive - Non Independent Director | Chairperson | |
| 2 | 00492930 | ASHOK KUMAR BARAT | Business Committee | Non-Executive - Independent Director | Member | |
| 3 | 00291361 | ARUN ALAGAPPAN | Business Committee | Executive Director | Member | |
| 4 | 00492930 | ASHOK KUMAR BARAT | IT Strategy Committee | Non-Executive - Independent Director | Chairperson | |
| 5 | 00291361 | ARUN ALAGAPPAN | IT Strategy Committee | Executive Director | Member | |
| 6 | 01797489 | ROHAN VERMA | IT Strategy Committee | Non-Executive - Independent Director | Member | |
| 7 | | | | | | |
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Disclosure of notes on meeting of board of directors explanatory

[Add Notes](#)

| Sr | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
|----|---|---|------------------------------|--|------------------------------|---|
| | Add | Delete | | | | |
| 1 | 23-01-2020 | | | Yes | 6 | 3 |
| 2 | 26-02-2020 | 33 | | Yes | 7 | 4 |
| 3 | 17-03-2020 | 19 | | Yes | 7 | 4 |
| 4 | 03-06-2020 | 77 | | Yes | 7 | 4 |

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* to be filled in only for the current quarter meetings

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Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

[Add Notes](#)

| Sr | Name of Committee | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Number of Directors present* | No. of Independent Directors attending the meeting* |
|----|---|---|---|-------------------------|-------------------------------|--|------------------------------|---|
| 1 | Audit Committee | 22-01-2020 | | | | Yes | 3 | 3 |
| 2 | Audit Committee | 17-03-2020 | 54 | | | Yes | 3 | 3 |
| 3 | Audit Committee | 02-06-2020 | 76 | | | Yes | 3 | 3 |
| 4 | Nomination and remuneration committee | 23-01-2020 | | | | Yes | 3 | 2 |
| 5 | Nomination and remuneration committee | 03-06-2020 | 131 | | | Yes | 3 | 2 |
| 6 | Stakeholders Relationship Committee | 03-06-2020 | | | | Yes | 3 | 1 |
| 7 | Risk Management Committee | 23-01-2020 | | | | Yes | 4 | 3 |
| 8 | Risk Management Committee | 17-03-2020 | 53 | | | Yes | 4 | 3 |
| 9 | Risk Management Committee | 02-06-2020 | 76 | | | Yes | 4 | 3 |
| 10 | Corporate Social Responsibility Committee | 23-01-2020 | | | | Yes | 3 | 1 |
| 11 | Corporate Social Responsibility Committee | 03-06-2020 | 131 | | | Yes | 3 | 1 |
| 12 | Other Committee | 22-01-2020 | | IT Strategy Committee | | Yes | 3 | 2 |
| 13 | Other Committee | 02-06-2020 | | IT Strategy Committee | | Yes | 3 | 2 |

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* to be filled in only for the current quarter meetings

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V. Related Party Transactions

| Sr | Subject | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|---|--|----------------------------------|--|
| 1 | Whether prior approval of audit committee obtained | Yes | |
| 2 | Whether shareholder approval obtained for material RPT | NA | |
| 3 | Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Yes | |
| Disclosure of notes on related party transactions | | | Add Notes |
| Disclosure of notes of material transaction with related party | | | Add Notes |

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Annexure 1

VI. Affirmations

| Sr | Subject | Compliance status (Yes/No) |
|----|--|----------------------------|
| 1 | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 | Yes |
| 2 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee | Yes |
| 3 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee | Yes |
| 4 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee | Yes |
| 5 | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities) | Yes |
| 6 | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 7 | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. | Yes |
| 8 | This report and/or the report submitted in the previous quarter has been placed before Board of Directors. | Yes |
| 9 | Any comments/observations/advice of Board of Directors may be mentioned here: | Add Notes |

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Annexure 1

| Sr | Subject | Compliance status |
|----|-------------------|--|
| 1 | Name of signatory | P Sujatha |
| 2 | Designation | Company Secretary and Compliance Officer |

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Signatory Details

| | |
|-----------------------|--|
| Name of signatory | P Sujatha |
| Designation of person | Company Secretary and Compliance Officer |
| Place | Chennai |
| Date | 13-07-2020 |

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