

April 17, 2024

The Secretary
National Stock Exchange of India Limited
Capital Market – Listing, Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai 400 051

The Secretary
BSE Ltd.
25th Floor, Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai 400 001

NSE SCRIP CODE: CHOLAFIN EQ

BSE SCRIP CODE: 511243

Dear Sir,

Sub: Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the financial year ended on March 31, 2024.

Pursuant to Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the certificate issued by M/s.R.Sridharan & Associates, Practicing Company Secretary, for the financial year ended on March 31, 2024.

We request you to take the above on record and acknowledge receipt.

Thanking you,

Yours faithfully,
For Cholamandalam Investment and Finance Company Limited

P Sujatha
Company Secretary

Encl.: As above

Cholamandalam Investment and Finance Company Ltd.

“Chola Crest”, C54-55 & Super B-4, Thiru-Vi-Ka Industrial Estate,
Guindy, Chennai - 600032. Tel.: 044 4090 7172 | Fax: 044 4090 6464
Toll-Free No.: 1800 102 4565 | Website: www.cholamandalam.com
CIN: L65993TN1978PLC007576

CERTIFICATE IN TERMS OF REGULATION 40 (9, 10 & 11) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01st April, 2023 to 31st March 2024

ISIN: INE121A01024

SYMBOL: CHOLAFIN

We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Investment and Finance Company Limited** (hereinafter called "the Company") having its Registered Office at Chola Crest, C54-55 & Super B-4, Thiru-Vi-Ka Industrial Estate, Guindy, Chennai, - 600032 maintained by its Registrar and Share Transfer Agent viz., **KFin Technologies Limited** (hereinafter referred to as 'RTA') having its office at Selenium, Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032, pertaining to the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended (hereinafter referred to as 'SEBI LODR') and the Uniform Listing Agreement entered into by the Company with the **National Stock Exchange of India Limited**.

The Securities and Exchange Board of India (SEBI) vide its Press Release dated December 3, 2018 and March 27, 2019 respectively and Circular No SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated 7th September, 2020 had fixed 31st March, 2021 as the cut-off date for re-lodgement of transfer deeds. The shares re-lodged for transfer after the said date will be issued only in dematerialized form in the manner prescribed by SEBI from time to time. In addition to the above, SEBI vide its Circular No SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that listed Companies shall henceforth issue securities only in dematerialized form. Accordingly, on the basis of such examination as well as information and explanations furnished to us by the Company/RTA, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that the Company has in relation to the year ended **31st March 2024** has not received any request(s) for Transfer, Transposition, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company through it's RTA has issued the Letter of Confirmation to shareholders in lieu of physical share certificate (s) in respect of requests received for Deletion of name, Transmission of shares and Issue of Duplicate share certificates and the above mentioned letter has been delivered to the securities holder(s) /claimant within the stipulated time period as stated in the SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

For **R. SRIDHARAN & ASSOCIATES**
COMPANY SECRETARIES



CS R.SRIDHARAN
C.P.No.3239
FCS No.4775
PR NO.657/2020
UIN: S2003TN063400
UDIN: F004775F000148261

PLACE: CHENNAI
DATE : 17TH APRIL, 2024